

# Formalizing Actions in Branching Time: Model-Theoretic Considerations

Munindar P. Singh

Microelectronics and Computer Technology Corporation  
3500 W. Balcones Center Drive  
Austin, TX 78759-5398  
USA

msingh@mcc.com

## Abstract

The formalization of actions is essential to AI. Several approaches have been proposed over the years. However, most approaches concentrate on the causes and effects of actions, but do not give general characterizations of actions themselves. A useful formalization of actions would be based on a general, possibly nondiscrete, model of time that allows branching (to capture agents' choices). A good formalization would also allow actions to be of arbitrary duration and would permit multiple agents to act concurrently. We develop a branching-time framework that allows great flexibility in how time and action are modeled. We motivate and formalize several *coherence constraints* on our models, which capture some nice intuitions and validate some useful inferences relating actions with time.

## 1 Introduction

Actions and time have drawn much attention in artificial intelligence (AI). Whereas much progress has been made in modeling time, corresponding progress has not been made in modeling actions. Temporal approaches run the gamut from discrete to continuous, point-based to interval-based, and linear to branching. By contrast, approaches to formalizing actions tend to be restricted to discrete models, typically linear and with additional assumptions such as that exactly one action happens at a time, and all actions have the same duration. Reasoning about actions focuses on the possible causes and effects of the actions, but not on their structure. This work is undoubtedly of value. However, we submit that its full potential can be realized only if actions themselves are formalized in a general framework. What are the properties that intuitively acceptable and technically feasible models of actions and time should support? We

address this question here.

A general model of actions would provide the underpinnings for work on concepts—such as intentions, ability, and know-how—that supervene on actions. When actions are modeled restrictively, the technical results obtained on the above concepts end up with potentially superfluous or even pernicious restrictions. It is easy to obtain spurious results on the above concepts that rely on some irrelevant aspect of the underlying model of actions [Singh, 1992]. Our main interest is in formalizing the above concepts, but we must model actions properly to succeed [Singh, 1994]. We introduce this formalization to the temporal representation community here. Our framework allows (a) time to branch to model agents' choices, (b) multiple agents to act simultaneously, (c) actions to be of varying durations relative to one another, and (d) time to be nondiscrete. Thus *choice* and *control* can be properly captured in this framework. Our approach is nonreified [Bacchus *et al.*, 1989], as is common in the non-AI literature [Emerson, 1990].

Time can variously be modeled as linear or branching. Allen presents an interval-based linear-time theory of actions in [1984]. Turner (p. 88) and Shoham [1988, ch. 2] show that Allen's theory is not conceptually clear, especially with regard to intervals. Shoham too restricts his models to be linear. Allen (p. 131) and Shoham (p. 36) argue that branching time is unnecessary since the agents' ignorance can be modeled in other ways. However, branching into the future is not a matter of ignorance, but of choice. That is why, ignorance apart, the past can be linear but the future must branch. (Sometimes, efficiency may be gained by treating even the past as branching: we allow this.)

Galton [1990] improves over Allen's approach in some respects but does not address constraints on actions *per se*. McDermott's approach, like ours, is point-based and involves branching-time [1982]. But, McDermott requires his models to be dense; also, clock values are essential to his semantics. McDermott notes, correctly, that an action cannot hap-

pen over overlapping intervals: we capture this differently. But a lot more must be said that his and other approaches do not say. Related research includes [Thomason & Gupta, 1981; van Frassen, 1981; Haddawy, 1990; Dean & Boddy, 1988], but it does not model actions as motivated here.

We present our formal language and model next and discuss our key operators informally. Then we motivate and formalize a number of *coherence constraints* on our models that are required for various useful properties. We use these to prove some important results relating actions and time. We close with a discussion of some open problems.

## 2 Technical Framework

The proposed formal model is based on a set of *moments* with a strict partial order, which denotes temporal precedence. Each moment is associated with a possible state of the world, which is identified by the atomic conditions or propositions that hold at that moment. A *scenario* at a moment is any maximal set of moments containing the given moment, and all moments in its future along some particular branch.

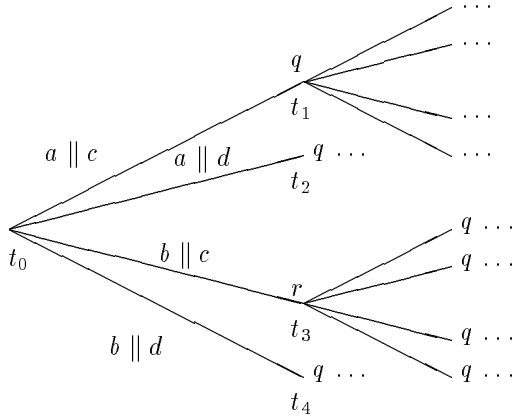


Figure 1: The Formal Model

Figure 1 shows a schematic picture of the formal model. Time may branch into the future and, in any interesting application, does. It may be taken as linear in the past, although nothing hinges upon this. The agents' ignorance about the past, as about anything else, is captured by beliefs (not discussed here). Each agent influences the future by acting, but the outcome also depends on other events. Figure 1 is labeled with the actions of two agents. The first agent can constrain the future to some extent by choosing to do action  $a$  or action  $b$ . If he does  $a$ , then the world progresses along one of the top two branches out of  $t_0$ ; if he does  $b$ , then it progresses along one of the bottom two branches. However, the agent cannot control what exactly transpires. For example, if he

does action  $a$ , then whether  $t_1$  or  $t_2$  becomes the case depends on whether the second agent does  $c$  or  $d$ .

Intuitively, actions are package deals. They correspond to the granularity at which an agent can make his choices. In Figure 1, the first agent can choose between  $t_1$  and  $t_2$ , on the one hand, and between  $t_3$  and  $t_4$ , on the other hand. However, he cannot choose between  $t_1$  and  $t_2$ , or between  $t_3$  and  $t_4$ . Both *choice* and *limited control* are thus captured.

### 2.1 The Formal Language

We use a qualitative temporal language,  $\mathcal{L}$ , based on CTL\* [Emerson, 1990]. This captures the essential properties of actions and time that are of interest. Formally,  $\mathcal{L}$  is the minimal set closed under the following rules. Here  $\mathcal{L}_s$  is the set of "scenario-formulae," which is used as an auxiliary definition.  $\Phi$  is a set of atomic propositional symbols,  $\mathcal{A}$  is a set of agent symbols,  $\mathcal{B}$  is a set of basic action symbols, and  $\mathcal{X}$  is a set of variables.

- L1.  $\psi \in \Phi$  implies that  $\psi \in \mathcal{L}$
- L2.  $p, q \in \mathcal{L}$  and  $a \in \mathcal{B}$  implies that  $p \wedge q, \neg p, Pp, (\bigvee a : p) \in \mathcal{L}$
- L3.  $\mathcal{L} \subseteq \mathcal{L}_s$
- L4.  $p, q \in \mathcal{L}_s, x \in \mathcal{A}$ , and  $a \in \mathcal{B}$  implies that  $p \wedge q, \neg p, p \cup q, x[a]p, x\langle a \rangle p, x\langle \langle a \rangle \rangle p \in \mathcal{L}_s$
- L5.  $p \in \mathcal{L}_s$  implies that  $Ap \in \mathcal{L}$
- L6.  $p \in (\mathcal{L}_s - \mathcal{L})$  and  $a \in \mathcal{X}$  implies that  $(\bigvee a : p) \in \mathcal{L}_s$

### 2.2 The Formal Model

A model for  $\mathcal{L}$  is a four-tuple,  $M = (\mathbf{T}, <, \mathbf{A}, \llbracket \rrbracket)$ . Here  $\mathbf{T}$  is a set of possible moments ordered by  $<$ .  $\mathbf{A}$  assigns agents to different moments; i.e.,  $\mathbf{A} : \mathbf{T} \mapsto \mathcal{P}(\mathcal{A})$ .  $\llbracket \rrbracket$  is described below. The relation  $<$  is a strict partial order:

- *Transitivity*:  $(\forall t, t', t'' \in \mathbf{T} : (t < t' \wedge t' < t'') \Rightarrow t < t'')$
- *Asymmetry*:  $(\forall t, t' \in \mathbf{T} : t < t' \Rightarrow t' \not< t)$
- *Irreflexivity*:  $(\forall t \in \mathbf{T} : t \not< t)$

A scenario at a moment  $t$  is any single branch of the relation  $<$  that includes  $t$  and *all* moments in some future of  $t$  that is a linear subrelation of  $<$ . Different scenarios correspond to different ways in which the world may develop, as a result of the actions of agents and events in the environment. Formally, a scenario at  $t$  is a set  $S \subseteq \mathbf{T}$  that satisfies the following.

- *Rootedness*:  $t \in S$
- *Linearity*:  $(\forall t', t'' \in S : (t' = t'') \vee (t' < t'') \vee (t'' < t'))$
- *Relative Density*:  $(\forall t', t'' \in S, t''' \in \mathbf{T} : (t' < t''' < t'') \Rightarrow t''' \in S)$

- *Relative Maximality*:  $(\forall t' \in S, t'' \in \mathbf{T} : (t' < t'') \Rightarrow (\exists t''' \in S : (t' < t''') \wedge (t''' \not< t'')))$

It is possible to extend  $S$  (here to  $t''$ ), then it is extended, either to  $t''$  (when  $t''' = t''$ ), or along some other branch. By itself, this does not entail that time be eternal.

$\mathbf{S}_t$  is the set of all scenarios at moment  $t$ . Since each scenario at a moment is rooted at that moment, the sets of scenarios at different moments are disjoint, that is,  $t \neq t' \Rightarrow \mathbf{S}_t \cap \mathbf{S}_{t'} = \emptyset$ . If  $t'$  is such that  $t < t'$ , then for every scenario,  $S' \in \mathbf{S}_{t'}$ , there is a scenario,  $S$ , such that  $S' \subset S$  and  $S \in \mathbf{S}_t$ . Conversely, for every scenario  $S \in \mathbf{S}_t$ , for each moment  $t' \in S$ , there is a scenario  $S' \in \mathbf{S}_{t'}$ , such that  $S' \subseteq S$ .

$[S; t, t']$  denotes a period on scenario  $S$  from  $t$  to  $t'$ , inclusive, i.e., the subset of  $S$  from  $t$  to  $t'$ . Thus, if  $[S_0; t, t'] \subseteq S_1$ , then  $[S_0; t, t'] = [S_1; t, t']$ . However, in general,  $[S_0; t, t'] \neq [S_1; t, t']$ . For notational simplicity,  $[S; t, t']$  presupposes  $t, t' \in S$  and  $t \leq t'$ .

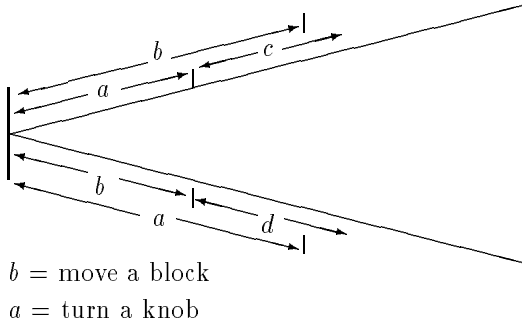


Figure 2: Actions: Nonsynchronized and of Varying Durations

Basic actions can be of arbitrary durations. Multiple agents may act simultaneously. The set of actions available to an agent can be different at different moments. For example, the actions of moving a block may take more or less time than the action of turning a knob. This case is diagramed in Figure 2, which also shows that actions may begin and end arbitrarily.

The intension,  $\llbracket \cdot \rrbracket$ , of an atomic proposition is the set of moments at which it is true. The intension of an action symbol  $a$  is, for each agent symbol  $x$ , the set of periods in which an instance of  $a$  is performed by  $x$ . Thus  $t \in \llbracket p \rrbracket$  means that  $p$  is true at moment  $t$ ; and,  $[S; t, t'] \in \llbracket a \rrbracket^x$  means that agent  $x$  is performing action  $a$  from moment  $t$  to moment  $t'$ . When  $[S; t, t'] \in \llbracket a \rrbracket^x$ ,  $t'$  corresponds to the ending of  $a$ , but  $t$  does not correspond to the initiation of  $a$ . This is because  $a$  may already be in progress before  $t$ . Constraints C1 and C2 of section 3 pertain to this aspect. All basic actions take time. That is, if  $[S; t, t'] \in \llbracket a \rrbracket^x$ , then  $t < t'$ .

### 2.3 Semantics

For  $p \in \mathcal{L}$ ,  $M \models_t p$  expresses “ $M$  satisfies  $p$  at  $t$ .” For  $p \in \mathcal{L}_s$ ,  $M \models_{S,t} p$  expresses “ $M$  satisfies  $p$  at moment  $t$  on scenario  $S$ ” (we require  $t \in S$ ). We say  $p$  is *satisfiable* iff for some  $M$  and  $t$ ,  $M \models_t p$ . The satisfaction conditions for the temporal operators are adapted from those in [Emerson, 1990]. It is assumed that each action symbol is quantified over at most once in any formula. Below,  $p|_b^a$  is the formula resulting from the substitution of all occurrences of  $a$  in  $p$  by  $b$ . Two useful abbreviations are  $\text{false} \equiv (p \wedge \neg p)$ , for any  $p \in \Phi$ , and  $\text{true} \equiv \neg \text{false}$ . Formally, we have:

- M1.  $M \models_t \psi$  iff  $t \in \llbracket \psi \rrbracket$ , where  $\psi \in \Phi$
- M2.  $M \models_t p \wedge q$  iff  $M \models_t p$  and  $M \models_t q$
- M3.  $M \models_t \neg p$  iff  $M \not\models_t p$
- M4.  $M \models_t \mathbf{A}p$  iff  $(\forall S : S \in \mathbf{S}_t \Rightarrow M \models_{S,t} p)$
- M5.  $M \models_t \mathbf{P}p$  iff  $(\exists t' : t' < t \text{ and } M \models_{t'} p)$
- M6.  $M \models_t (\bigvee a : p)$  iff  $(\exists b : b \in \mathcal{B} \text{ and } M \models_t p|_b^a)$ , where  $p \in \mathcal{L}$
- M7.  $M \models_{S,t} (\bigvee a : p)$  iff  $(\exists b : b \in \mathcal{B} \text{ and } M \models_{S,t} p|_b^a)$ , where  $p \in (\mathcal{L}_s - \mathcal{L})$
- M8.  $M \models_{S,t} p\mathbf{U}q$  iff  $(\exists t' : t \leq t' \text{ and } M \models_{S,t'} q \text{ and } (\forall t'' : t \leq t'' \leq t' \Rightarrow M \models_{S,t''} p))$
- M9.  $M \models_{S,t} x[a]p$  iff  $(\forall t' \in S : [S; t, t'] \in \llbracket a \rrbracket^x \text{ implies that } (\exists t'' : t < t'' \leq t' \text{ and } M \models_{S,t''} p))$
- M10.  $M \models_{S,t} x\langle a \rangle p$  iff  $(\exists t' \in S : [S; t, t'] \in \llbracket a \rrbracket^x \text{ and } (\exists t'' : t < t'' \leq t' \text{ and } M \models_{S,t''} p))$
- M11.  $M \models_{S,t} x\langle a \rangle p$  iff  $(\exists t' \in S : [S; t, t'] \in \llbracket a \rrbracket^x \text{ and } (\exists t'' : t < t'' \leq t' \text{ and } (\forall t''' : t < t''' \leq t'' \text{ implies that } M \models_{S,t'''} p)))$
- M12.  $M \models_{S,t} p \wedge q$  iff  $M \models_{S,t} p$  and  $M \models_{S,t} q$
- M13.  $M \models_{S,t} \neg p$  iff  $M \not\models_{S,t} p$
- M14.  $M \models_{S,t} p$  iff  $M \models_t p$ , where  $p \in \mathcal{L}$

### 2.4 Temporal and Action Operators: Discussion

$p\mathbf{U}q$  is true at a moment  $t$  on a scenario, iff  $q$  holds at a future moment on the given scenario and  $p$  holds on all moments between  $t$  and the selected occurrence of  $q$ .  $\mathbf{F}p$  means that  $p$  holds sometimes in the future on the given scenario and abbreviates  $\text{true}\mathbf{U}p$ .  $\mathbf{G}p$  means that  $p$  always holds in the future on the given scenario; it abbreviates  $\neg \mathbf{F}\neg p$ .  $\mathbf{P}p$  denotes  $p$  held at some moment in the past.

The branching-time operator,  $\mathbf{A}$ , denotes “in all scenarios at the present moment.” Here “the present moment” refers to the moment at which a given formula is evaluated. A useful abbreviation is  $\mathbf{E}$ , which denotes “in some scenario at the present moment.” In other words,  $\mathbf{E}p \equiv \neg \mathbf{A}\neg p$ . For example, in Figure 1,  $\mathbf{E}Fr$  and  $\mathbf{A}Fq$  hold at  $t_0$ , since  $r$  holds on some

moment on some scenario at  $t_0$  and  $q$  holds on some moment on each scenario.

$\mathcal{L}$  also contains operators on actions. These are based on operators in dynamic logic, but are given a linear rather than a branching semantics. For an action symbol  $a$ , an agent symbol  $x$ , and a formula  $p$ ,  $x[a]p$  holds on a given scenario  $S$  and a moment  $t$  on it, iff, if  $x$  performs  $a$  on  $S$  starting at  $t$ , then  $p$  holds at some moment while  $a$  is being performed. The formula  $x\langle a \rangle p$  holds on a given scenario  $S$  and a moment  $t$  on it, iff,  $x$  performs  $a$  on  $S$  starting at  $t$  and  $p$  holds at some moment while  $a$  is being performed. These definitions require  $p$  to hold at any moment in the (left-open and right-closed) period in which the given action is being performed. Thus they are weaker than possible definitions that require  $p$  to hold at the moment at which the given action completes.

It is essential to allow the condition to hold at any moment in the period over which the action is performed. This is because we are not assuming that time is discrete or that all actions are of equal durations and synchronized to begin and end together. Intuitively, if we insisted that the relevant condition hold at the end of the action, then an agent could effectively leap over a condition. In that case, even if a condition occurs while an action is performed, we may not have  $x\langle a \rangle p$ . For example, if  $p$  is “the agent is at the equator,” and the agent performs the action of hopping northwards from just south of the equator, he may end up north of the equator without ever (officially) being at it. That would be quite unintuitive. For this reason, the present definitions are preferred although as a consequence, the operators  $\langle \rangle$  and  $[]$  are not formal duals of each other. But this is made up for by having a more intuitive set of definitions, which also enable the right relationship between the action operators and F, G, and U to be captured. Recall from above that  $pUq$  considers all moments between the given moment and the first occurrence of  $q$ , not just those at which different actions may end.

Further,  $x\langle a \rangle p$  holds on a scenario  $S$  and moment  $t$  if  $x$  performs action  $a$  starting at  $t$  and  $p$  holds in some initial subperiod of the period over which  $a$  is performed. This operator is necessary to relate actions with time for the following reason. We allow actions to happen over periods which contain moments between their endpoints. Such cases can arise even in discrete models if all actions are not unit length. Consequently, if  $a$  is performed at  $t$  and  $q$  holds at an internal moment of  $a$  and  $p$  holds throughout, then  $pUq$  holds at  $t$ . But absent the  $\langle \rangle$  operator, we cannot characterize  $pUq$  recursively in terms of actions. One useful characterization is given in section 4: this helps in giving the fixed point semantics of the temporal operators, which is essential to computing them efficiently.

The above action modalities yield scenario-formulae, which can be combined with the branching-time operators A and E.  $Ax[a]p$  denotes that on all

scenarios  $S$  at the present moment, if  $a$  is performed on  $S$ , then  $p$  holds at some moment on  $S$  between the present moment and the moment at which  $a$  is completed. Similarly,  $Ex\langle a \rangle p$  denotes that  $a$  is being performed on some scenario at the present moment and that on this scenario  $p$  holds at some moment between the present moment and the moment at which  $a$  is completed. In other words,  $Ax[a]p$  corresponds to the necessitation operator and  $Ex\langle a \rangle p$  to the possibility operator in dynamic logic.

Existential quantification over basic actions is a useful feature. Of the several basic actions that an agent may do at a given moment, we would often like to talk restrictively of the subset of actions that have some interesting property. Indeed, we need something like this to formally express the idea of *choice*: an agent may be able to do several actions, but would, in fact, choose to do one, e.g., one of those that ensure success.

### 3 Coherence Constraints

For the above models to be coherent and useful, further technical constraints are required. These are motivated and formalized below.

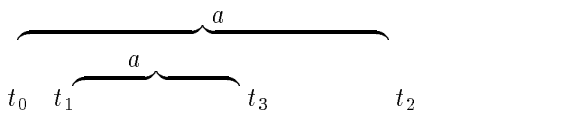


Figure 3: Case Disallowed by Action Uniqueness (1)

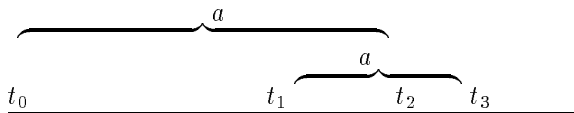


Figure 4: Case Disallowed by Action Uniqueness (2)

#### C1. Uniqueness of Termination of Actions:

Starting at any given moment, each action can be performed in at most one way on any given scenario. In other words, for any action  $a$ , scenario  $S$ , and moments  $t_0, t_1, t_2, t_3$  in  $S$ , we have that  $[S; t_0, t_2] \in \llbracket a \rrbracket$  and  $[S; t_1, t_3] \in \llbracket a \rrbracket$  implies that, if  $t_0 \leq t_1 < t_2$ , then  $t_2 = t_3$ . This is needed to exclude ill-formed models in which an action does not have a unique moment of ending (see Figures 3 and 4). If an agent performs an action and then repeats it, the repetition counts as a separate instance, because it has a distinct starting moment. This constraint permits different actions with possibly distinct endpoints to happen simultaneously. In discrete models with unit length actions, both endpoints are necessarily unique; here only the termination point is assumed to be unique.

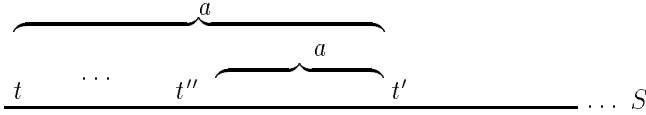


Figure 5: Actions in Progress

**C2. Actions in Progress:** It helps in relating moments with actions to require that  $[S; t, t'] \in \llbracket a \rrbracket \Rightarrow (\forall t'' : t \leq t'' < t' \Rightarrow [S; t'', t'] \in \llbracket a \rrbracket)$ . This allows us to talk of an agent's actions at any moment at which they are happening, not just where they begin. However, in accordance with constraint C1, actions begun at a moment still have a unique ending moment. As a result of this constraint, the actions operators behave properly. For example, if an agent can achieve a condition by performing some action, then he can also achieve it while in the process of performing that action (until it happens). This constraint holds vacuously in discrete models.

Figure 5 shows how this constraint causes the intension of an action to be filled out by suffixes of the period over which it is performed. The period  $[S; t', t']$  is not added to  $\llbracket a \rrbracket$ , since that would lead to a violation of our assumption that  $[S; t, t'] \in \llbracket a \rrbracket$  implies that  $t < t'$ . This would cause ambiguity between an action instance ending at  $t'$  and another beginning there.

**C3. Passage of Time:** Something must be *done* by each agent along each scenario in the model, even if it is some kind of a dummy action. This assumption ensures that time does not just pass by itself, and is needed to make the appropriate connections between time and action. Formally,  $(\forall t \in \mathbf{T}, x \in \mathbf{A}(t), S \in \mathbf{S}_t \Rightarrow ((\exists t' \in S) \Rightarrow (\exists t' \in S, a : [S; t, t'] \in \llbracket a \rrbracket^x)))$ .

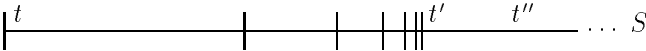


Figure 6: Limit Sequences Disallowed by Reachability of Moments

**C4. Reachability of Moments:** For any scenario and two moments on it, there is a finite number of actions of each agent that, if performed on that scenario starting at the first moment, will lead to a moment in the future of the second moment. Formally,  $(\forall S : (\forall t, t' \in S : t < t' \Rightarrow (\exists t'' : t' \leq t'' \text{ and } (\exists a_1, \dots, a_n \text{ and } [S; t, t''] \in \llbracket a_1, \dots, a_n \rrbracket))))$ . This condition is intended to exclude models in which there are moments that would require infinitely long action sequences to reach. Such models, e.g., as

in Figure 6, would allow a condition to be inevitable and yet unreachable though any finite sequence of actions. It is important that this not be the case for inevitability to relate properly with know-how. This constraint always holds in discrete models.

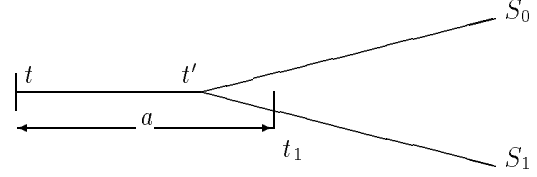


Figure 7: Actions Cannot be Partially Performed on any Scenario

**C5. Atomicity of Basic Actions:** If an agent is performing an action over a part of a scenario, then he completes that action on that scenario. This makes sense since the actions in the model are basic actions, performed with one atomic choice by their agent. If an action in some domain can in fact be chopped into a prefix and suffix such that the suffix is optional, then it should be modeled as two separate basic actions, the first of which completes entirely and the second of which may not be begun at all.

Formally, let  $t, t', t_1 \in \mathbf{T}$ , such that  $t < t' < t_1$ . Let  $S_0, S_1 \in \mathbf{S}_t$ , such that  $[S_1; t, t'] \in S_0$ . Then  $[S_1; t, t_1] \in \llbracket a \rrbracket^x$  implies that  $(\exists t_0 \in S_0 : [S_0; t, t_0] \in \llbracket a \rrbracket^x)$ .

Intuitively,  $[S_1; t, t_1] \in \llbracket a \rrbracket^x$  means that  $x$  is performing  $a$  from  $t$  to  $t_1$ . Therefore, he must be performing  $a$  in any subperiod of that, including  $[S_1; t, t']$ , which is the same as  $[S_0; t, t']$ . Thus,  $a$  must be completed on  $S_0$ . Higher-level actions do not satisfy this. For example, Al may be crossing the street (on a scenario) even if he did not cross it successfully on that scenario, e.g., by being run over by a bus.

Our models represent physical systems, albeit non-deterministic ones. The actions available to the agents and the conditions that hold on different scenarios leading from a given state are determined by that state itself. Constraints on agent's choices, abilities, or intentions can thus be flexibly modeled.

A well-known alternative characterization of models of time is by the set of all scenarios at all states. We relate moments and states as follows. Define a relation  $\sim$  to indicate the state-equivalence of moments and periods. The state at a moment is precisely characterized by the atomic propositions that hold at that moment. For moments,  $t$  and  $t'$ , we define  $t \sim t'$  iff  $\{\psi \in \Phi \mid t \in \llbracket \psi \rrbracket\} = \{\psi \in \Phi \mid t' \in \llbracket \psi \rrbracket\}$ . For sets of

moments,  $L$  and  $L'$ , we define  $L \sim L'$  in terms of an order-isomorphism,  $f$ . Given two sets  $L$  and  $L'$  with an order  $<$ , a map  $f$  from  $L$  to  $L'$  is an order-isomorphism iff (a)  $f$  is onto, (b) ( $t \in L$  iff  $f(t) \in L'$ ), and (c) ( $\forall t, t_0 \in L : t < t_0$  iff  $f(t) < f(t_0)$ ). We can now define  $L \sim L'$  as  $L \sim L'$  iff ( $\exists f : f$  is an order-isomorphism and ( $\forall t \in L \Rightarrow t \sim f(t)$ )).

**Observation 1**  $\sim$  is an equivalence relation  $\square$

Thus,  $t \sim t'$  means that the same physical state occurs at moments  $t$  and  $t'$ . Thus, states are the equivalence classes of  $\sim$  on moments.  $L \sim L'$  means that the moments in  $L$  and  $L'$  represent the same states occurring in the same temporal order. In other words,  $L$  and  $L'$  represent the same trajectory in state-space.

For a model to represent a physical system and be specifiable by a transition relation among different states, the corresponding set of scenarios,  $\mathbf{S}$ , must satisfy the following closure properties [Emerson, 1990]. We generalize these from discrete time.

- *Suffix closure*: If  $S \in \mathbf{S}$ , then all suffixes of  $S$  belong to  $\mathbf{S}$ .
- *Limit closure*: If for a set of states  $T = \{t_0 \dots t_n \dots\}$ , scenarios containing  $t_0 \dots t_n$ , for  $n \geq 0$  are in  $\mathbf{S}$ , then a scenario  $S$  such that  $T \subseteq S$  is also in  $\mathbf{S}$ .
- *Fusion closure*: If  $S_0 = S_0^p \cdot t \cdot S_0^f$  and  $S_1 = S_1^p \cdot t \cdot S_1^f$  in  $\mathbf{S}$  include the same state  $t$ , then the scenarios  $S_0^p \cdot t \cdot S_1^f$  and  $S_1^p \cdot t \cdot S_0^f$  formed by concatenating the initial and later parts of  $S_0$  and  $S_1$  also belong to  $\mathbf{S}$  ( $\cdot$  indicates concatenation).

**Lemma 2** By construction,  $\mathbf{S}$  derived from our models satisfies suffix and limit closures.  $\square$

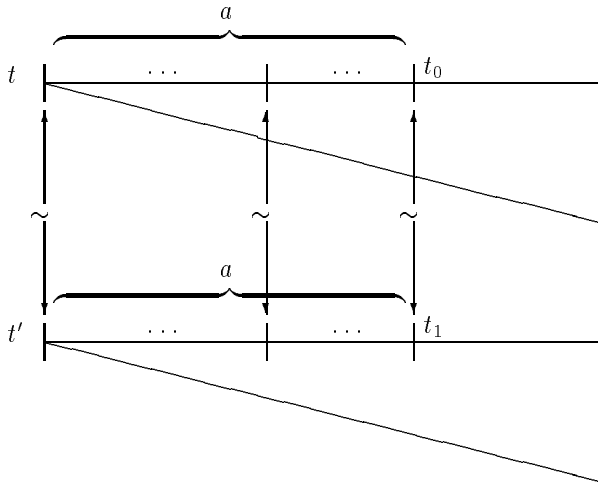


Figure 8: Weak Determinism

However, fusion closure is not satisfied in general. We show next how to satisfy it.

**C6. Weak Determinism:** If two moments satisfy exactly the same atomic propositions, then the fragments of the model rooted at those moments must be isomorphic with respect to the temporal precedence relation and the atomic propositions in the formal language. Thus, we can define weak determinism as the following constraint.

$$(\forall x \in \mathcal{A}, a \in \mathcal{B}, t, t', t_0 \in \mathbf{T}, S_0 \in \mathbf{S}_t : t \sim t' \Rightarrow ([S_0; t, t_0] \in \llbracket a \rrbracket^x \Rightarrow (\exists S_1 \in \mathbf{S}_{t'}, t_1 : [S_1; t', t_1] \in \llbracket a \rrbracket^x \text{ and } [S_0; t, t_0] \sim [S_1; t', t_1])))$$

**Lemma 3** Under weak determinism,  $\mathbf{S}$  derived from our models satisfies fusion closure.  $\square$

## 4 Results on Time and Actions

It is helpful in intuitively understanding formal definitions to attempt to prove some technical results that should follow from them. For this reason, we state and discuss some consequences of the above model and semantic definitions next.

We believe constraint C1 is what McDermott intends by requiring that actions do not overlap. But, that also eliminates C2, which is essential, e.g., so that  $Fp$  can be concluded at all moments which precede  $p$  (Observation 6). Constraints C3 and C4 are required for Observation 6 and related results about G and U. We also use the fact that  $x \neg[a] \neg p$  means that  $a$  is performed and  $p$  holds throughout  $a$ .

**Observation 4**  $(x \langle a \rangle p) \rightarrow Fp$   $\square$

**Observation 5**  $(x \langle a \rangle Fp) \rightarrow Fp$   $\square$

**Observation 6**  $Fp \rightarrow p \vee (\bigvee a : x \langle a \rangle Fp)$   $\square$

**Observation 7**  $Gp \rightarrow p$   $\square$

**Observation 8**  $Gp \rightarrow (\bigvee a : x \neg[a] \neg Gp)$   $\square$

**Observation 9**  $(p \wedge x \neg[a] \neg Gp) \rightarrow Gp$   $\square$

**Observation 10**  $(p \wedge q) \rightarrow p \cup q$   $\square$

**Observation 11**  $(p \wedge x \neg[a] \neg (p \cup q)) \rightarrow p \cup q$   $\square$

**Observation 12**  $(p \wedge x \langle a \rangle (p \cup q)) \rightarrow p \cup q$   $\square$

**Observation 13**  $p \cup q \rightarrow ((p \wedge q) \vee (p \wedge (\bigvee a : x \neg[a] \neg (p \cup q))) \vee (q \wedge (\bigvee a : x \langle a \rangle (p \cup q))))$   $\square$

**Observation 14** In discrete models with unit length actions,  $x \langle a \rangle p \equiv x \neg[a] \neg p$  and  $x \langle a \rangle p \equiv x \langle a \rangle p$ . Thus one action operator suffices in such models.  $\square$

## 5 Conclusions and Open Problems

Actions and time are crucial to several subareas of AI. We sought to generalize the formalization of actions, so that several important properties are not excluded. These include the actions being of different durations, the actions being performed concurrently by different agents, the underlying notion of time being variously continuous or discrete, and the underlying notion of time allowing branching into the future. We stated various coherence constraints that capture the intuitive properties of actions in different cases of interest. Our model can thus serve as an underpinning for further research on notions such as intentions and know-how. Previous research on these concepts has been shackled by poor models of time and action, thereby leading to spurious results [Singh, 1992].

The logic CTL\* was designed over a decade ago for reasoning about programs. Usually, its models are discrete with unit length actions performed one at a time. We extended CTL\* with new operators and gave our language a more general semantics that allows time to be discrete, dense, or continuous. One of our concerns was that our definitions specialize to each case properly. This is useful since AI models must often function at multiple levels of abstraction. We also discovered that several constraints must be stated on models to capture the AI notion of basic actions. The sole traditional constraint of no overlap [McDermott, 1982] says too little and sometimes is too strong.

Even though several decision procedures are known for CTL\*, no closed-form axiomatization is still known. This is an important open problem, as is determining an axiomatization for our language,  $\mathcal{L}$ . Further research is required to determine the role of past time operators for AI purposes. Such operators are known to make formulae drastically compact in some cases, but they also raise the complexity of the required decision procedures significantly. Would it help for AI to augment  $\mathcal{L}$  with operators such as *since*? For models with exclusively unit length actions, one action operator is enough (instead of three). Are there other interesting classes of models for which  $\mathcal{L}$  can be simplified?

We have focused here on representational issues. We have not explored the tradeoffs between expressiveness and computational complexity. Clearly, efficiency can be gained by simplifying the formal language and model. One class of reasoning techniques that is likely to prove of much value is the one developed in the qualitative reasoning community, which routinely deals with continuous phenomena and looks for ways to express them in terms of significant transitions [Kuipers, 1986; Sandewall, 1989].

Model checkers (programs which check whether a given model satisfies a given formula) have drawn much attention lately [Burch *et al.*, 1990]. One such

can fairly easily be constructed for  $\mathcal{L}$  by generalizing the ones known for CTL\*. The recursive characterizations of the temporal operators in terms of actions go a long way in designing this. Instead of points in discrete models, we have to maintain periods in our model. Clearly, if a model is finitely specifiable in terms of periods, we can compute on it in finite time using standard techniques. However, efficient, specialized data structures for periods would be essential in practice.

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